

# MATCHING CAPITAL WITH OPPORTUNITY



## Pacific Oak Capital Advisors

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## Hans Henselman

### Chief Compliance Officer

As the Chief Compliance Officer for Pacific Oak Capital Advisors, Hans is responsible for leading the firm's compliance program, day to day compliance activities and ensuring regulatory compliance. He has more than 30 years of experience in the financial services industry, with a diverse background in compliance, operations, sales, financial product distribution, broker dealer formation and team building. His experience comes from previous leadership roles with Pacific Oak Capital Markets, KBS Capital Markets Group and WM Financial Services.

Hans graduated from California State University Long Beach with a degree in finance and an emphasis in investments. He has held the FINRA Series 4, 7, 14, 24, 79, 99 and SIE licenses. Over the last 15 years, he has been registered with 3 broker dealers as a General Securities Principal. In addition, he has participated in various committees for the Institute for Portfolio Alternatives ("IPA").

### Education

BS Finance, emphasis Investments — California State University, Long Beach

### Designations

Series 4 License  
Series 7 License  
Series 14  
Series 24 License  
Series 79 License  
Series 99 License  
Securities Industry Essentials® (SIE®) Exam



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